

Spectrum Reduction

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Outline

- What is a spectrograph?
- spectrum reduction

What is a spectrograph?

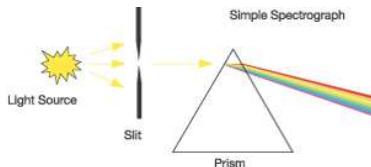
- dispersive element

- ▶ grating
- ▶ prism
- ▶ grism

- camera + detector

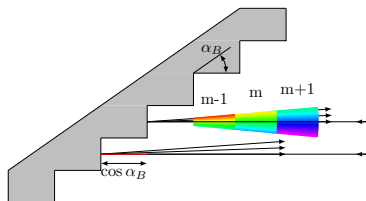
- characteristics and types of spectrographs

- ▶ resolution: high / low
- ▶ slit (CRIRES, UVES) / fibre-fed (HARPS, CARMENES)
- ▶ layout (echelle)
- ▶ single-object / multi-object / integral field spectrographs (IFS) (e.g. SINFONI)

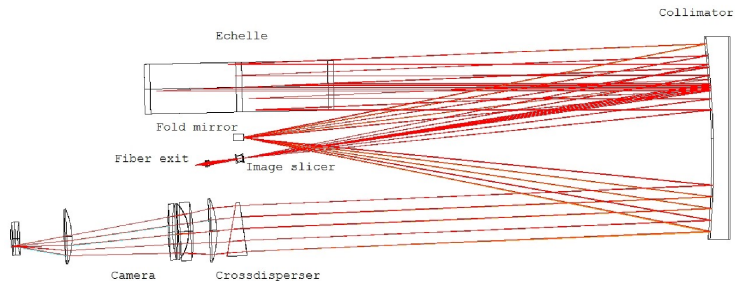


CARMENES – Layout

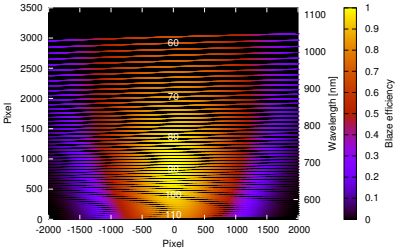
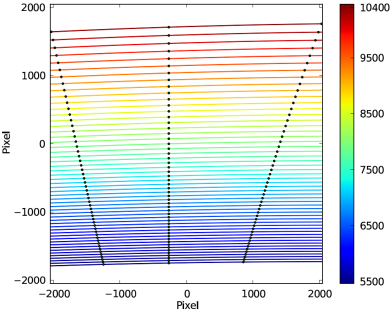
- fibre-fed echelle spectrograph
- echelle diffraction grating
- cross-disperser (grism)
- triple pass of the collimator



blaze grating (echelle)



CARMENES – Spectral Format



CARMENES echelle format (VIS)

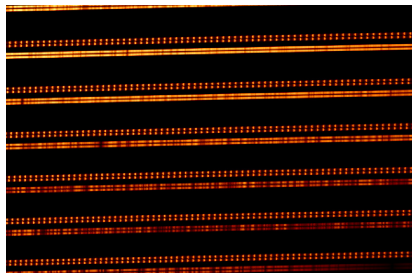
CARMENES – Design Parameters

	VIS	NIR
$\Delta\lambda$	530-1050 nm	950-1700 nm
$R=\lambda/\Delta\lambda$	80,000	85,000
Fibre size	1.50 arcsec	1.65 arcsec
Grating	2 x R4 (31.6 mm ⁻¹)	2 x R4 (31.6 mm ⁻¹)
Cross disperser	grism	grism
Detector	e2v 231-84 (4k x 4k)	2 x Hawaii-2RG (4k x 2k)

- pressure and temperature stabilised (vacuum tank)
- two fibres
 - ▶ fibre A: science object
 - ▶ fibre B: simultaneous calibration (RV drift or sky)

Raw spectrum

- spectrograph images the slit to wavelength dependent positions



- Data reduction: How do we get the spectrum?

Reduction software

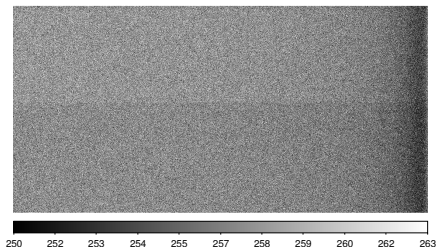
- IDL (REDUCE, Piskunov & Valenti, 2002)
- IRAF
<http://iraf.noao.edu/tutorials/doeslit/doeslitgif.html>
- Python
- Pyraf
- ESO-MIDAS
- instrument specific pipelines

Data Reduction

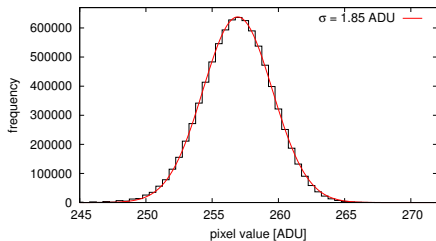
- bias correction
- flat fielding
- stray light subtraction
- spectrum extraction
- wavelength calibration
- order merging
- flux calibration

Bias correction

- Bias = electronic offset (amplifier)
- bias images:
 - ▶ exposure time = 0s
 - ▶ regular calibration
 - ▶ mean bias level
 - ▶ higher order systematics
 - ▶ readout noise
- master bias = average of bias images
- subtract master-bias
- measure readout noise from the count dispersion (histogram)



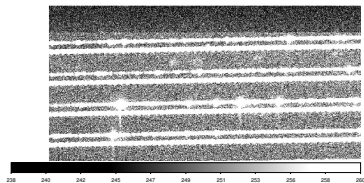
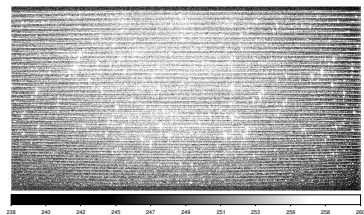
master bias (HARPS blue)



read-out noise

Bias correction

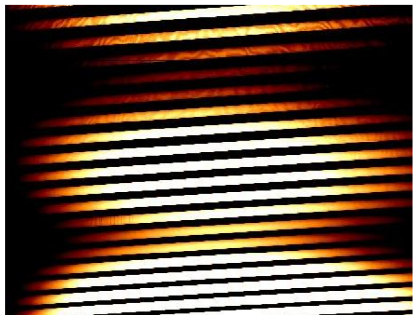
- measure mean bias level in science observations from pre-/overscan region (amplifier zero point correction)
- subtract mean (column) bias



HARPS blue CCD

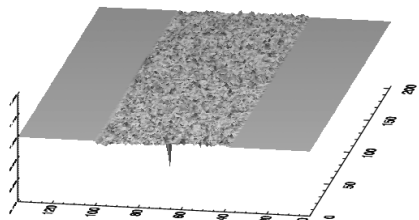
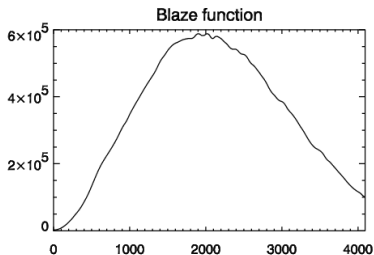
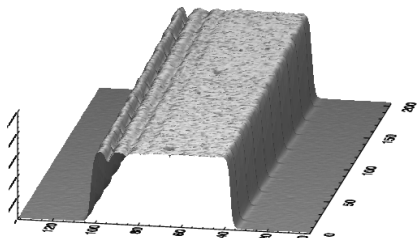
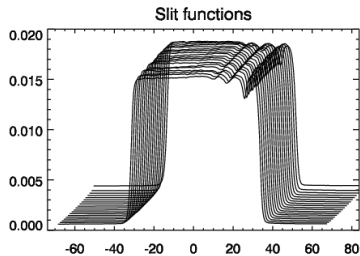
Flat field

- flat lamp: featureless, smooth spectrum
- multiplicative effects
 - ▶ pixel-to-pixel variations (pixel size and efficiency)
 - ▶ fringing (interference pattern)
 - ▶ blaze function (echelle grating)
 - ▶ wavelength dependent efficiency of spectrograph (optics + detector)
 - ▶ dust
- types:
 - ▶ sky flat in the twilight (telluric absorption)
 - ▶ dome flat
 - ▶ internal flat
- master flat = average of flat frames



HET HRS flat image

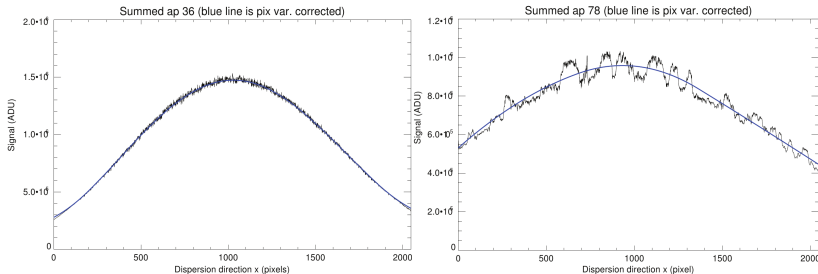
Flat field



- creation of a normalised flat-field (decomposition)
- set low S/N regions to 1 (noise > pixel variations)
- divide by the normalised flat-field

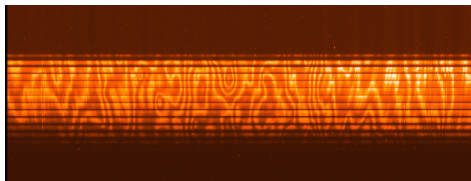
UVES (Piskunov & Valenti, 2002)

Flat field



Buchave (2010)
TRES spectrograph

- fringing in the red order

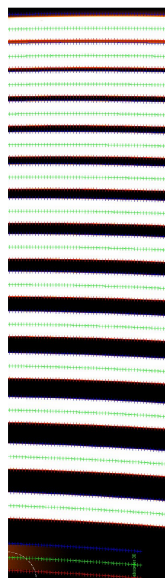
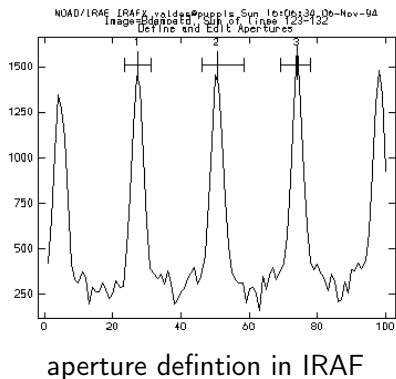


fringing in the CES spectrograph

Order and Aperture definition

- identify location and width of the spectrum (and background)

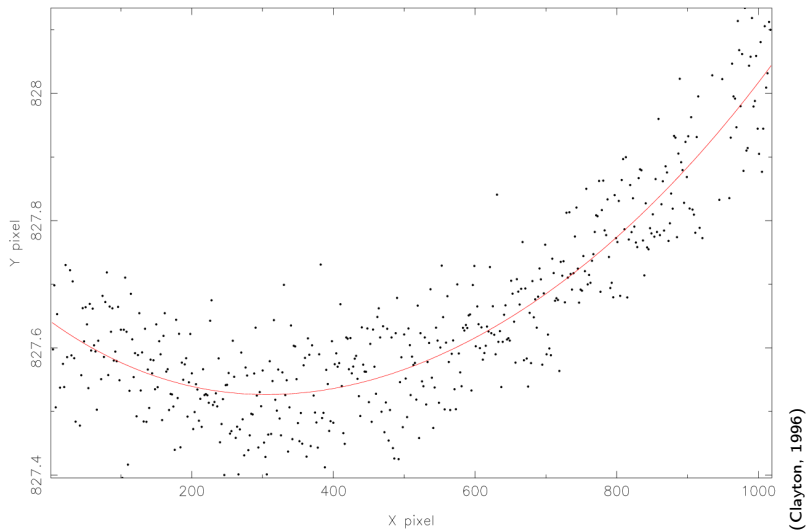
- order tracing
 $y_{\text{cen}} = f(x, o)$



Modigliani (et al. 2012), X-Shooter

Order and Aperture definition

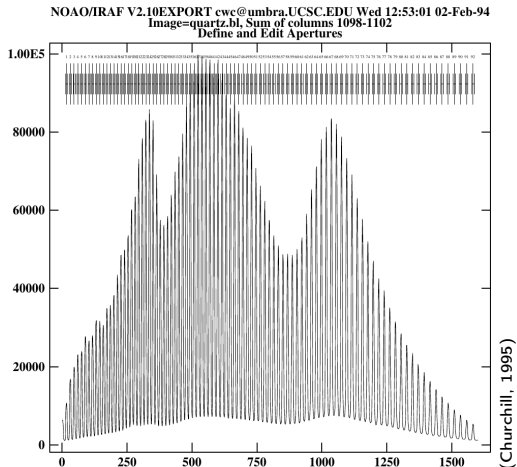
Order 15: samples=486, clipped=0, degree=4



(Clayton, 1996)

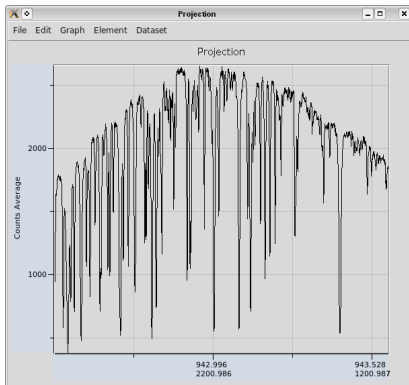
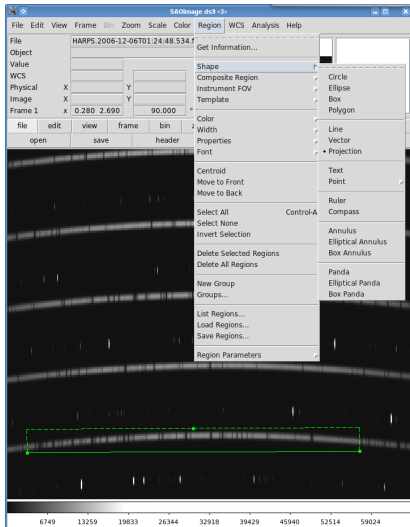
Stray light correction

- global stray light (imperfection of the grating)
- local: inter-order/fibre crosstalk
- removal: polynomial/spline fit to the background regions and interpolation across the aperture



Extraction

- Quick look extraction with SAOImage ds9



Linear Extraction

- sum the raw pixel flux across the column (within the extraction width) $s_x = \sum_y S_{x,y}$
- simple
- s_x has not minimal variance
- extraction width?
 - ▶ too large: adding read-out noise from regions with low/no signal
 - ▶ too small: losing signal

Optimal Extraction

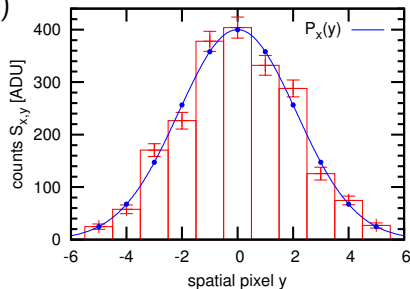
- weighted extraction (Horne, 1986)

- $$s_x = \frac{\sum_y \sigma_{x,y}^{-2} p_{x,y} S_{x,y}}{\sum_y \sigma_{x,y}^{-2} p_{x,y}^2}$$

- two weighting factors:

- ▶ $p_{x,y}$ – profile value
fractional flux
normalised to unity
$$\sum_y p_{x,y} = 1$$
- ▶ $\frac{1}{\sigma_{x,y}^2}$ – (inverse) pixel noise
read-out noise + photon noise
$$\sigma_{x,y}^2 = \sigma_{\text{rn}}^2 + \sigma_{\text{ph}}^2$$

- equivalent to scaling of (1D) spatial profiles $p_{x,y}$
- s_x (the intensity) is the best scaling factor
- s_x is unbiased (i.e. the extracted values are on average the true values; if the spatial profile is a good model)
- s_x has minimal variance



Optimal Extraction

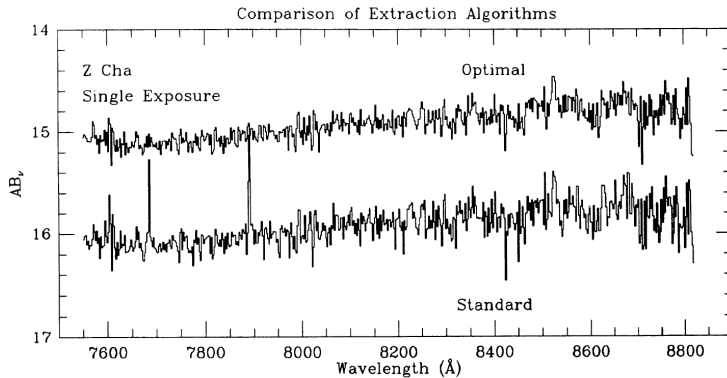
- case photon-noise only:
 - ▶ estimated photon-noise: $\sigma_{x,y} = \sqrt{gS_{x,y}}$
(gain g : conversion factor between photon counts and digital counts)
 - ▶ predicted pixel flux: $S_{x,y} = p_{x,y}s_x$
 - ▶ estimated photon-noise: $\sigma_{x,y}^2 = gp_{x,y}s_x$

$$s_x = \frac{\sum_y \sigma_{x,y}^{-2} p_{x,y} S_{x,y}}{\sum_y \sigma_{x,y}^{-2} p_{x,y}^2} = \frac{\sum_y S_{x,y}}{\sum_y p_{x,y}} = \sum_y S_{x,y}$$

i.e. linear extraction

- same performance for high signal-to-noise
- better performance for low signal-to-noise
- in wings always low signal-to-noise
extraction width not so important

Optimal Extraction

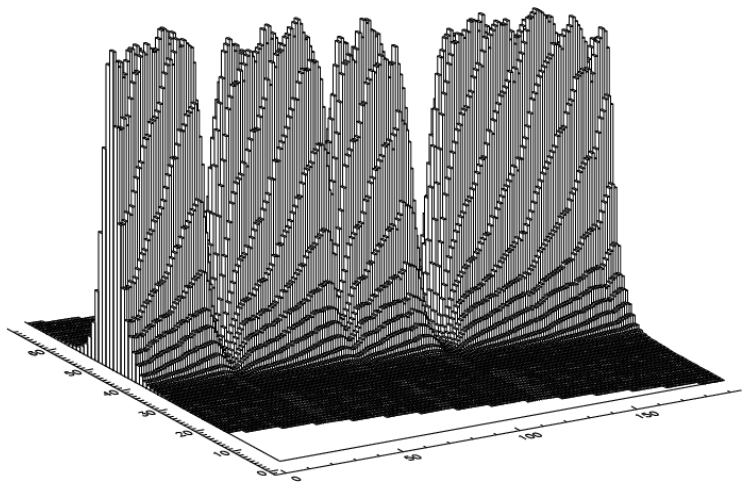


Optimal Extraction

- How do we get the cross-section $p_{x,y}$?
 - a define an analytic function (e.g. Gaussian)
 - b from a reference object (e.g. flat field)
 - c from the observed object itself
- many algorithms

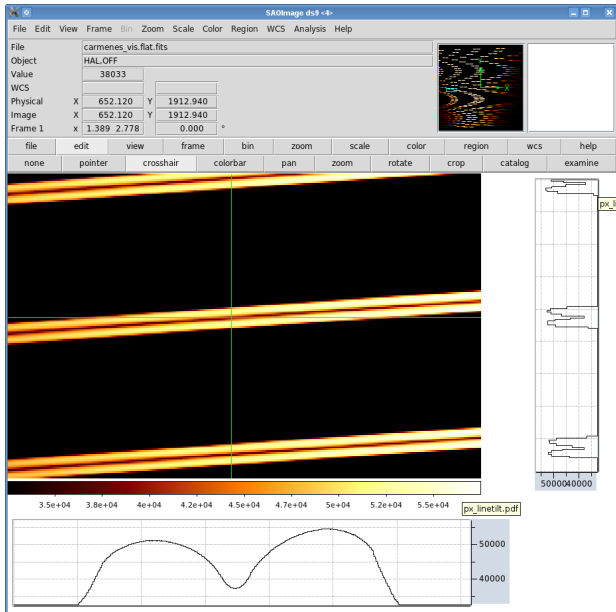
Reference	Cross-section model	comment
Hewett et al. (1985)	average along dispersion	assumes no order tilt
Horne (1986); Robertson (1986)	polynomials along dispersion	assumes small order tilt
Urry & Reichert (1988)	Gaussian function	
Marsh (1989)	coupled polynomials along dispersion	employs spatial subpixel grid
Piskunov & Valenti (2002)	penalised splines	employs spatial subpixel grid
this work	(master flat)	requires stabilised spectrograph

Optimal Extraction



(Piskunov & Valenti, 2002)

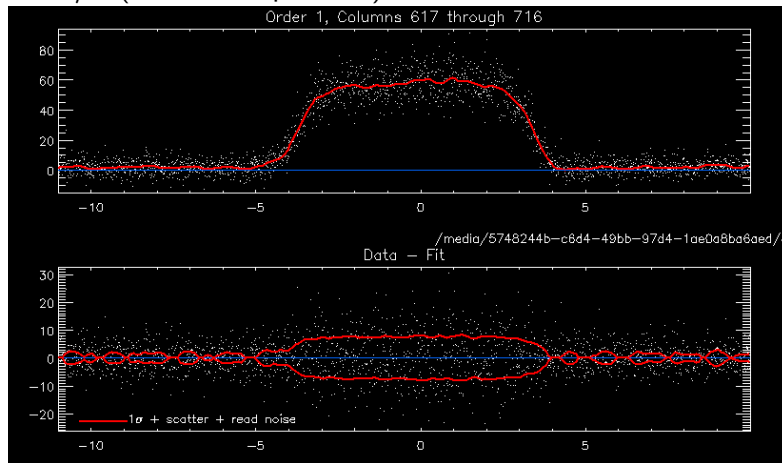
Optimal Extraction



Optimal Extraction

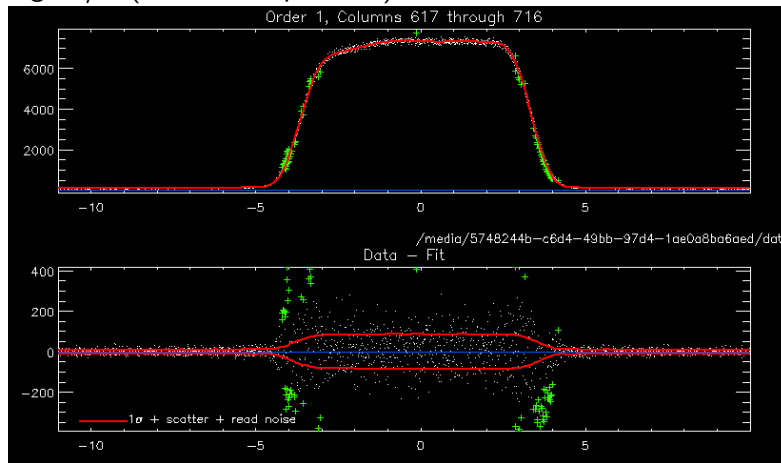
- example profile modeling with REDUCE (Piskunov & Valenti, 2002) (penalised splines, chunk wise modelling)

low S/N (HET HRS spectrum)



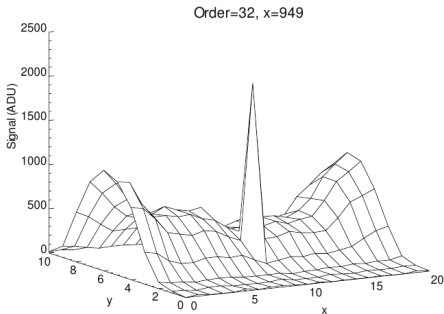
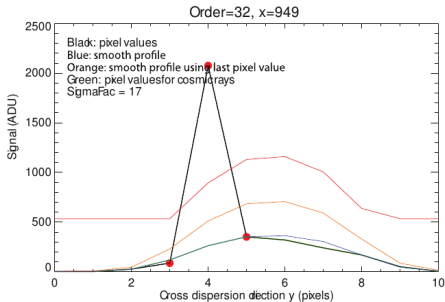
Optimal Extraction

- example profile modeling with REDUCE (Piskunov & Valenti, 2002)
high S/N (HET HRS spectrum)



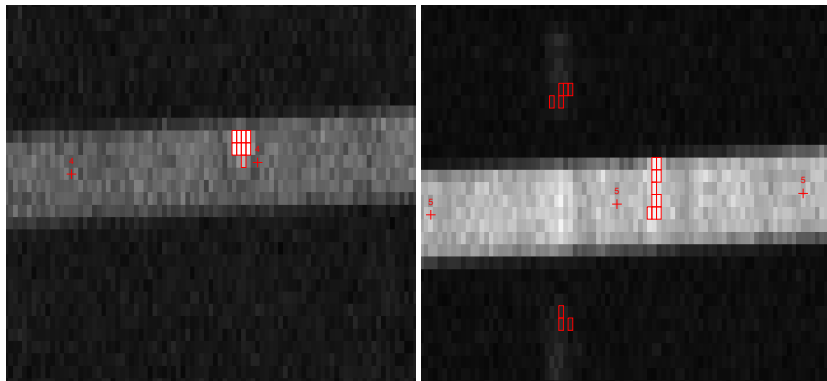
Extraction – cosmics

- cosmic ray hits
 - ▶ random events
 - ▶ number depends on exposure time
- outlier from the spatial profile
- kappa-sigma clipping to remove outlier
- requires noise model quantify the significance
- iterate profile modelling and extraction

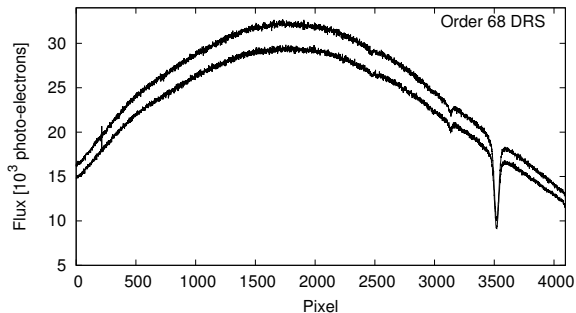


Extraction – Cosmics

- cosmics in the raw images (HET HRS spectrograph)



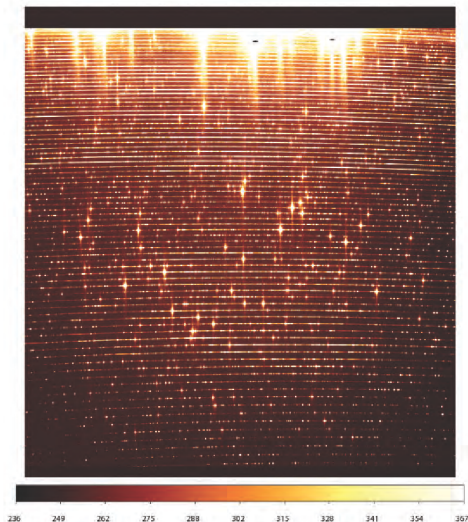
Extraction – extracted spectrum



(HARPS, B star)

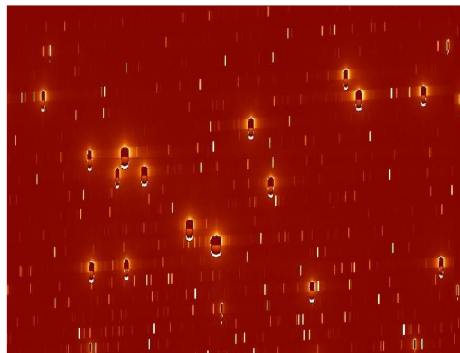
Wavelength calibration

- ThAr exposures
- emission line spectrum
- problem: saturated lines and blooming



Buchhave (2010), TRES spectrograph

Wavelength calibration

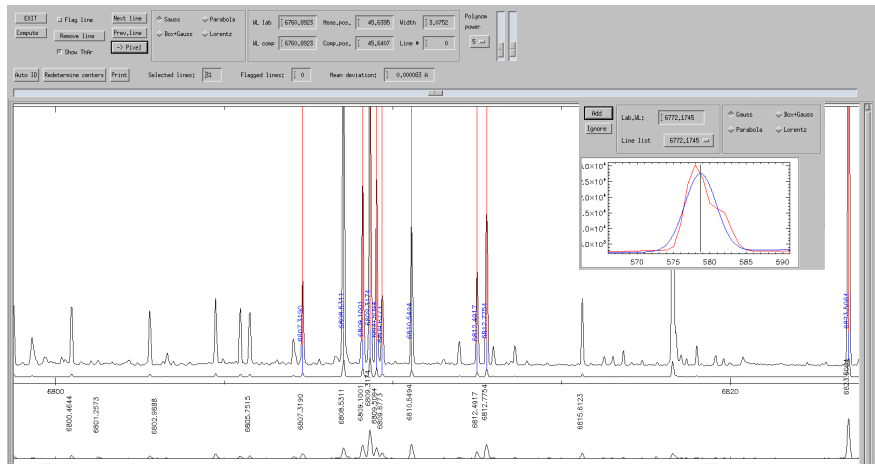


HET HRS spectrograph

- extract ThAr spectra like the science images (and deblaze)

Wavelength calibration

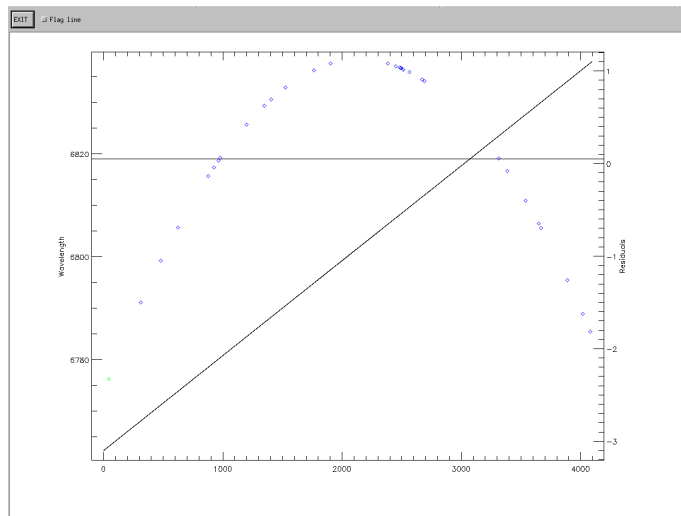
- line identification on extracted spectra
- requires a line list atlas



(IDL REDUCE)

Wavelength calibration

- wavelength solution: $\lambda_x = f(x)$

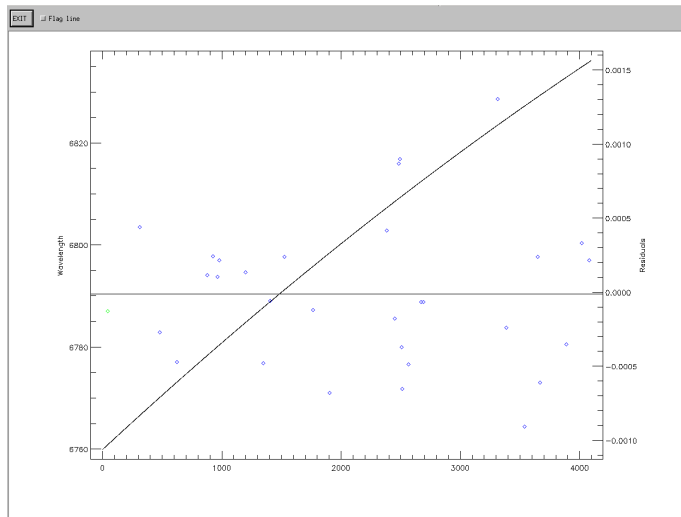


linear polynom (deg=1)

(IDL REDUCE)

Wavelength calibration

- wavelength solution: $\lambda_x = f(x)$

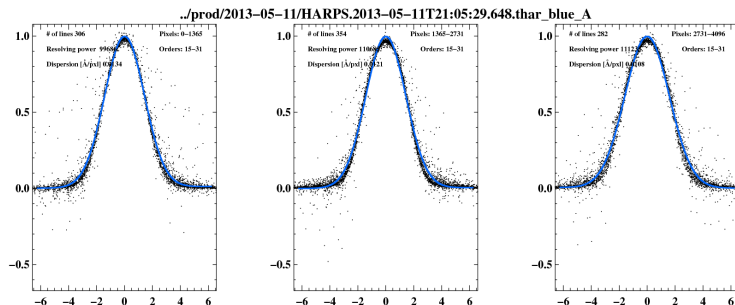


cubic polynom (deg=3)

(IDL REDUCE)

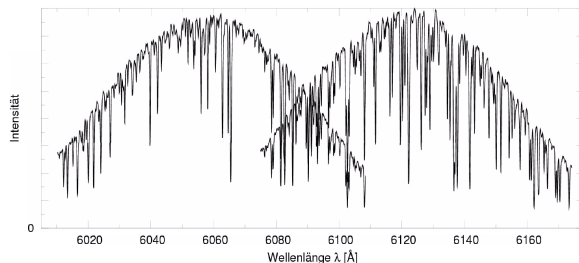
Wavelength calibration

- not all lines are used
 - ▶ unidentified lines
 - ▶ blended lines
 - ▶ Argon lines (for high precision RV, more age and pressure sensitive than Thorium)
- check line spread function (LSF)



Order merging

- extracted, wavelength calibrated spectrum

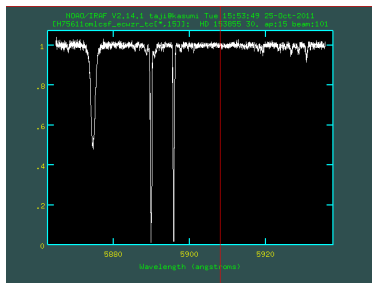
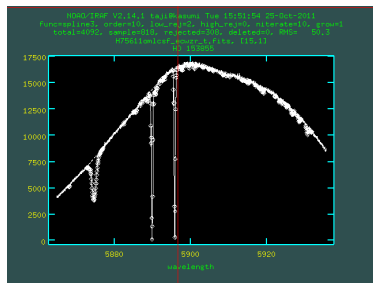


TLS spectrum (Tautenburg)

- order merging requires:
 - ▶ deblazing
 - ▶ rebinning to a common wavelength scale (sampling per resolution element is different)
 - ▶ error propagation and weighted coadding

Spectrum normalisation

- empirical normalisation (without standard star)



<http://www.naoj.org/Observing/Instruments/HDS/hdsq1-e.html>

(IRAF, Subaru HDS)

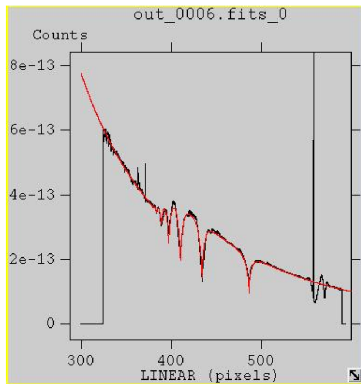
Flux calibration

- derive instrument response with a spectrophotometric standard star
- extract standard star and compare with model spectrum

- $$\epsilon(\lambda) = \frac{I_{STD}^{XSH}(\lambda) \cdot 10^{0.4 \cdot A_{tm_ext}(\lambda) \cdot (airp - airm)} \cdot gain \cdot E_{phot}(\lambda)}{T_{exp} \cdot A_{tel} \cdot I_{STD}^{ref}(\lambda)} \cdot factor$$

- ▶ extinction table
- ▶ airmass
- ▶ exposure time

- apply instrument response to object spectra



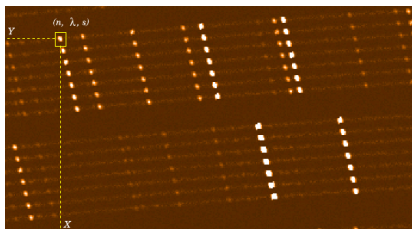
Modigliani (et al. 2012), X-Shooter

More complications

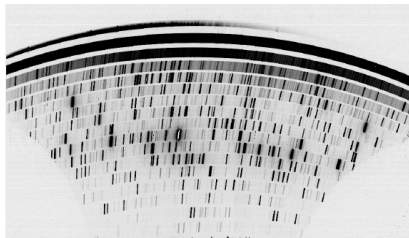
- truncated/grazing orders



- line tilt



wave map (Goldoni et al., 2006)

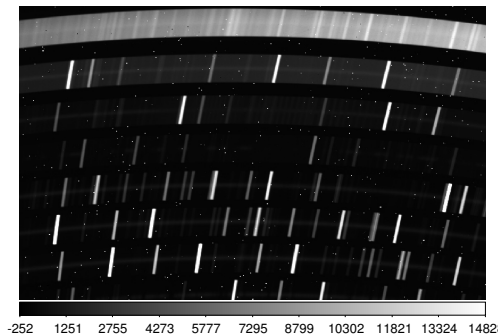


spectral format X-Shooter (NIR)

(Modigliani, et al. 2012)

More complications

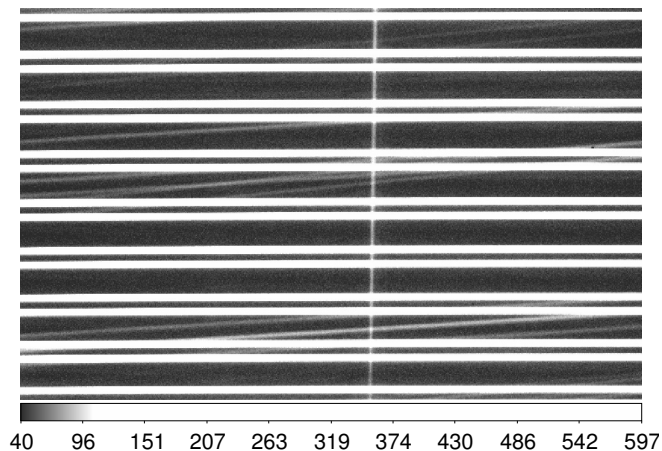
- sky emission lines



X-Shooter NIR (GJ 894.3, white dwarf, $V = 11.50$ mag)

More complications

- ghosts (parasitic orders)



(HARPS, flat)

References

- Buchhave, L. C. A. 2010, Detecting and Characterizing Transiting Extrasolar Planets, PhD Thesis, [pdf](#)
- Churchill, C. W. 1995, Introduction to Echelle Data Reduction Using the Image Reduction Analysis Facility, [pdf](#)
- Clayton, M. 1996, Introduction to Echelle Spectroscopy, [ps](#)
- Goldoni, P., Royer, F., François, P., et al. 2006, in Society of Photo-Optical Instrumentation Engineers (SPIE) Conference Series, Vol. 6269, Society of Photo-Optical Instrumentation Engineers (SPIE) Conference Series, [ADS](#)
- Horne, K. 1986, PASP, 98, 609, [ADS](#)
- Modigliani, A. et al. 2012, X-Shooter Pipeline User Manual, Issue 10.0, [pdf](#)
- Piskunov, N. E. & Valenti, J. A. 2002, A&A, 385, 1095, [ADS](#)